

12/18/98

Jc614 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(For new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	98U020
First Inventor or Application Number	Whiteker, et al.
Title	Olefin Polymerization Catalysts, Their Production and Use
Express Mail Label No.	EM354493284US

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification **Total Pages** 52
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications (if any)
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) **Total Sheets**
4. ☐ Oath or Declaration **Total Pages**
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/division with Box 15 completed)
[Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

ADDRESS TO:**Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231**

5. ☐ Incorporation By Reference (useable if Box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Other:

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior applications No: _____, from which priority is claimed under 35 U.S.C. § 120

Prior application information: Examiner _____ Group Art Unit

16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from applications

No. _____, country _____, filed on _____.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Univation Technologies L.L.C.				
Address	5555 San Felipe, Suite 1950				
City	Houston	State	Texas	Zip Code	77056
Country	U.S.A.		Telephone	(713) 892-3670	Fax (713) 892-3699
Name (print/type)	Catherine L. Bell		Registration No. (Attorney/Agent)	35,444	
Signature	<i>Catherine L. Bell</i>		Date	December 18, 1998	

FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision.

Complete if Known

Application Number	Not Assigned
Filing Date	December 18, 1998
First Named Inventor	Whiteker, et al.
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	98U020

Total Amount of Payment (\$) 2,432.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:
- Deposit Account Number: 50-0589
- Deposit Account Name: Univation Technologies, LLC
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee Paid
101 790	Utility filing fee	790.00
106 330	Design filing fee	
107 540	Plant filing fee	
108 790	Reissue filing fee	
114 150	Provisional filing fee	
SUBTOTAL (1)		790.00

2. CLAIMS

Paid	Extra	Fee from below	Fee
Total Claims 76 - 20 =	56	22.00	1,232.00
Independent Claims 8 - 3 =	5	82.00	410.00
Multiple Dependent Claims	0	270.00	-

Large Entity Fee Code	Fee Description	Fee
103 22	Claims in excess of 20	
102 82	Independent claims in excess of 3	
104 270	Multiple dependent claim	
109 82	Reissue independent claims over original patent	
110 22	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		1,642.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105 130		Surcharge - late filing fee or oath	
127 50		Surcharge - late provisional filing or cover sheet.	
139 130		Non-English specification	
147 2,520		For filing a request for reexamination	
112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*		Requesting publication of SIR after Examiner act	
115 110		Extension for reply within first month	
116 400		Extension for reply within second month	
117 950		Extension for reply within third month	
118 1,510		Extension for reply within fourth month	
128 2,060		Extension for reply within fifth month	
119 310		Notice of Appeal	
120 310		Filing a brief in support of an appeal	
121 270		Request for oral hearing	
138 1,510		Petition to institute a public use proceeding	
140 110		Petition to revive - unavoidable	
141 1,320		Petition to review - unintentional	
142 1,320		Utility issue fee (or reissue)	
143 450		Design issue fee	
144 670		Plant issue fee	
122 130		Petition to the Commissioner	
123 50		Petitions related to provisional applications	
126 240		Submission of information Disclosure Stmt.	
581 40		Recording each patent assignment per property (times number of properties)	
146 790		Filing a submission after final rejection (37 CFR 1.129(a))	
149 790		For each additional invention to be examiner (37 CFR 1.129(b))	
Other fee (specify)			
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			

Typed or Printed Name

Catherine L. Bell

Reg. No.

35,444

Signature

Catherine L. Bell

Date

December 18, 1998

Deposit Account User ID